

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF OKLAHOMA

FILED

DEC 14 2016

Mark C. McCartt, Clerk
U.S. DISTRICT COURT

John A. Olagues, Pro Se
Ray Wollney, Pro Se
shareholders of
NGL Energy Partners LP

16 CV - 748 GKF - PJC

Plaintiff

Private Right of Action
Under Section 16 (b) of the
Securities Act of 1934
CIVIL ACTION

Versus

Michael Krimbill CEO
Shawn Coady Pres.
Vincent J. Osterman Pres.
NGL Energy Partners LP

Defendants

COMPLAINT
JURY TRIAL DEMANDED

1. Plaintiffs, allege, based upon knowledge with respect to the facts relating to them upon information and belief with respect to all other allegations, as follows:

INTRODUCTION

2. This action is brought pursuant to Section 16(b) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), [15 U.S.C. 78p(b)] ("Section 16(b)") in order to recover short-swing insider trading profits realized by defendants, Michael Krimbill, CEO, Shawn Coady, President and Vincent J. Osterman, President, while they were statutory insiders of NGL Energy Partners LP.

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filed
12/14/16

3. Michael Krimbill, CEO, Shawn Coady, President and Vincent J. Osterman, President at all relevant times were officers of NGL Energy Partners LP within the meaning of Section 16(b).

4. Section 16(b) requires company insiders to disgorge any profits earned by Section 16 (b) insiders through short-swing insider trading (i.e., non-exempt purchases and non-exempt sales, or non-exempt sales and non-exempt purchases of the company's equity securities, within less than a six month period). Actual misuse of inside information is not an element of the claim although the statute embodies a presumption that statutory insiders have access to such inside information.

5. The SEC is allowed to pass rules that exempt only transactions that are not comprehended to be within the purpose of Section 16 (b) of the 1934 Securities Act as stated in the last sentence of Section 16(b) shown below:

“This subsection shall not be construed to cover any transaction where such beneficial owner was not such both at the time of the purchase and sale, or the sale and purchase, of the security or security-based swap agreement or a security-based swap involved, or any transaction or transactions which the Commission by rules and regulations may exempt as not comprehended within the purpose of this subsection”.

This part of Section 16 (b) is confirmed by the Court in *Levy v. Sterling* as below:

United States Court of Appeals, Third Circuit.

Mark LEVY, Appellant v. STERLING HOLDING COMPANY, LLC; National Semiconductor Corporation; Fairchild Semiconductor International, Inc. No. 07-1849. Decided: October 1, 2008

According to the statute itself, the purpose of section 16(b) is “preventing the unfair use of information which may have been obtained by such beneficial owner, director, or officer by reason of his relationship to the issuer.” 15 U.S.C. § 78p(b). The statute authorizes the SEC to promulgate rules and regulations exempting from liability transactions that are “not comprehended within [this] purpose.” Id.; see Levy I, 314 F.3d at 112. Exercising this authority, the SEC has established a number of section 16(b) exemptions. See 17 C.F.R. §§ 240.16b-1, .16b-3, .16b-5 to .16b-8 (codifying SEC Rules 16b-1, 16b-3, and 16b-5 to 16b-8).

PARTIES

- Plaintiff, John Olagues, a shareholder of NGL Energy Partners LP
- Plaintiff, Ray Wollney, a shareholder of NGL Energy Partners LP

- Defendant Michael Krimbill CEO,
- Defendant Shawn Coady President
- Defendant Vincent J.Osterman President
- Defendant, NGL Energy Partners LP.

6. NGL Energy Partners LP Common Stock is registered with the SEC pursuant to Section 12 of the Exchange Act and the Common Stock trades on the NASDAQ under the symbol NGL.

7. NGL Energy Partners LP is a necessary party as this action is brought by Plaintiff in order to obtain a recovery for the firm, NGL Energy Partners LP.

JURISDICTION AND VENUE

8. Jurisdiction of this Court is proper pursuant to Section 27 of the Exchange Act [15 U.S.C. §78aa].

9. Venue is properly laid in this District because Defendants, Michael Krimbill,CEO, Shawn Coady, Pres. and Vincent J.Osterman, Pres. are located in this District.

SUBSTANTIVE ALLEGATIONS

10. The relevant transactions shown on Form 4s filed with the SEC by Defendants, Michael Krimbill, CEO, Shawn Coady, Pres. and Vincent J. Osterman, Pres. are listed below: The Documents from SEC Form 4.com attached as **Exhibit A** show the relevant filings by Defendants Michael Krimbill, CEO, Shawn Coady, Pres. and Vincent J. Osterman, Pres. who were officers or directors when the purchases and dispositions illustrated below were made.

Mr. Krimbill.

Shares			Shares			
Purchased	Date	Price	Disposed	Date	Price	Profit
10,000	8/25/15	\$23.71	10,000	7/1/2015	\$30.55	\$68,400
10,000	11/23/15	\$18.04	10,000	7/1/2015	\$30.55	\$125,100
20,000	12/04/15	\$13.68	20,000	7/1/2015	\$30.55	\$337,400
10,979	3/11/15	\$26.98	10,979	7/1/2015	\$30.55	\$39,195
Total Profit						\$570,095

Mr. Coady.

Shares			Shares			
Purchased	Date	Price	Disposed	Date	Price	Profit
15,000	12/04/15	\$14.31	15,000	7/1/2015	\$30.55	\$243,600
5,000	12/15/14	\$24.20	4,226	7/1/2014	\$43.22	\$80,378
			774	8/15/2014	\$41.69	\$13,537
Total Profit						\$337,515

Mr. Osterman.

Shares			Shares			
Purchased	Date	Price	Disposed	Date	Price	Profit
20,000	12/04/15	\$13.75	9,876	7/1/2015	\$30.55	\$165,917
			6,368	7/1/2015	\$30.55	\$106,982
			3,756	8/5/2015	\$25.89	\$45,598
Total Profit						\$318,497
Total Combined Profits						\$1,226,107

11. The dispositions of the common shares on 7/01/2015 were dispositions to the issuer for tax liabilities pursuant to the vesting of performance units. However, at least 20,000 shares that were disposed of by Mr. Krimbill on 7/1/2015 had their income calculations deferred pursuant to IRC 83-c(3). The other dispositions on 7/01/2014, 8/05/2015 and 8/15/2015 were for taxes for Restricted stock vesting.

12. We are not able to find details of the Grant Agreements or the Compensation Plan documents. Also, attorneys for NGL Energy Partners LP have refused to provide them in Response to our 60 day request for recovery of profits.

BASIS FOR INFORMATION AND BELIEF

13. Plaintiffs' information and belief is based on, among other things, the SEC Form 4s referenced herein and the violations of the Securities law as described above.

ALLEGATIONS AS TO DEMAND

14. Plaintiffs notified NGL Energy Partners LP of the facts alleged above (the "Demand"). Attorneys for NGL Energy Partners LP refused to request disgorgement of the profits from the insiders, Michael Krimbill, CEO, Shawn Coady, President and Vincent J. Osterman, President. and refused to file suit to recover such profits totaling \$1,226,107 on behalf of NGL Energy Partners LP .

15. The attorneys for NGL Energy Partners LP in a letter to Plaintiff, alleged that the dispositions from Michael Krimbill, CEO, Shawn Coady, Pres. and Vincent J. Osterman, Pres. to NGL Energy Partners LP were exempt from Section 16 b of the 1934 Act via SEC Rule 16 b-3(e) and thus the attorneys alleged that the disposition of shares on 7/01/2014 , 7/01/2015 , 8/05/2015 and 8/15 2014 could not be matched with any of the non exempt purchases of shares on days within less than 6 months .

PRAYER FOR RELIEF

16. WHEREFORE, Plaintiff prays for judgment against Defendants, Michael Krimbill, CEO, Shawn Coady, President. and Vincent J.Osterman, President to NGL Energy Partners LP in an amount to be determined at trial, plus prejudgment interest, post-judgment interest and such other and further relief as this Court may deem just and proper.

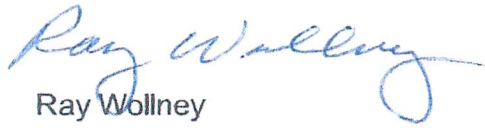
17. The amount to be at a minimum of \$ 1,226,107 which is the total profit received from matched non exempt purchases and non exempt dispositions within less than 6 months.

DATED this 13 th day of December, 2016.

Respectfully Submitted:



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olagues@gmail.com



Ray Wollney
8331 Whiskey Preserve Circle #421
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Exhibit A

**Copies of Form4.com documents showing the
transactions claimed to be matched for
Section 16 (b) purposes.**

Sec Form 4

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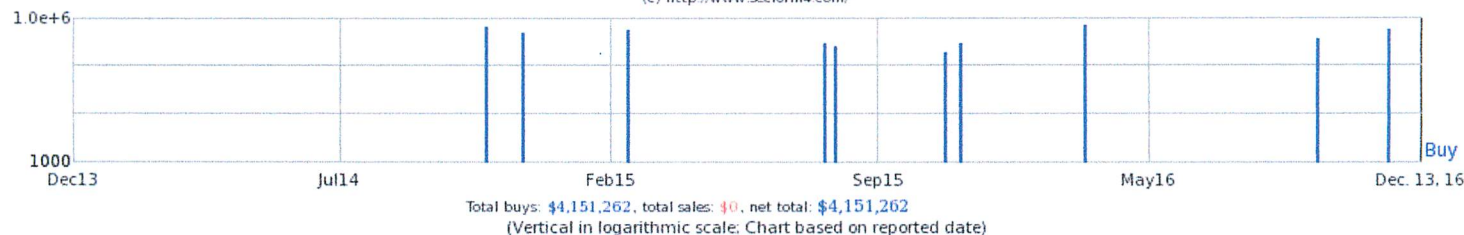
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Insider Trading - Krimbill H Michael

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Common stock purchase or sale:

Transaction Date	Reported Date	Company	Symbol	Insider Relationship	Shares Traded	Average Price	Total Amount	Shares Owned	Filing
2016-11-15 Purchase	2016-11-16 08:25 am	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	30,000	\$18.13	\$544,005	904,848 (Direct Indirect)	View
2016-09-15 Purchase	2016-09-19 07:01 am	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	20,000	\$17.31	\$346,112	904,848 (Direct Indirect)	View
2016-03-15 Purchase	2016-03-17 08:30 am	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	100,000	\$6.663	\$666,330	904,848 (Direct Indirect)	View
2015-12-04 Purchase	2015-12-07 09:15 am	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	20,000	\$13.68	\$273,600	904,848 (Indirect Direct)	View
2015-11-23 Purchase	2015-11-23 5:27 pm	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	10,000	\$18.04	\$180,365	904,848 (Indirect Direct)	View
2015-08-25 Purchase	2015-08-25 1:36 pm	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	10,000	\$23.71	\$237,064	904,848 (Indirect Direct)	View
2015-08-14 Purchase	2015-08-17 5:55 pm	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	10,000	\$27.04	\$270,356	904,848 (Indirect Direct)	View
2015-03-11 Purchase	2015-03-12 1:21 pm	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	20,000	\$26.98	\$539,578	904,848 (Indirect Direct)	View
2014-12-12 Purchase	2014-12-15 10:14 am	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	20,000	\$23.04	\$460,724	904,848 (Indirect Direct)	View
2014-11-13 Purchase	2014-11-14 1:16 pm	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	20,000	\$31.66	\$633,128	904,848 (Indirect Direct)	View

Stock options: Exercise, Award, Grant, Conversion

Transaction Date	Reported Date	Exercisable Expiration	Company	Symbol	Insider Relationship	Shares Traded	Conversion Price	Shares Owned	Filing
2016-07-15 Option Award	2016-07-19 7:25 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	228,809	\$0	904,848 (Direct)	View
2015-07-01 Tax Withholding	2015-07-06 8:12 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	50,979	\$30.55	904,848 (Direct)	View
2015-07-01 Option Award	2015-07-06 8:12 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	108,014	\$0	904,848 (Direct)	View
2015-04-23 Option Award	2015-04-27 2:15 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	213,573	\$0	904,848 (Direct)	View
2014-08-15 Exercise	2014-08-22 5:11 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	497,846	\$0	904,848 (Indirect)	View
2014-08-15 Exercise	2014-08-22 5:11 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Krimbill H Michael Chief Executive Officer Director	497,846	\$0	904,848 (Indirect)	View

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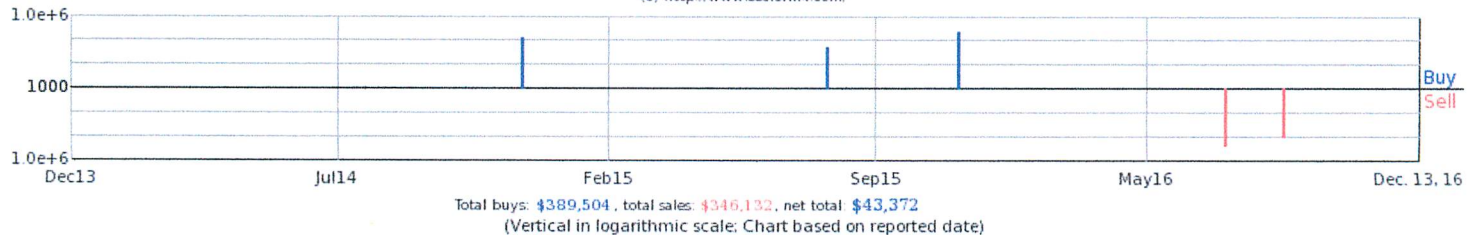
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 Insider Trading - Coady Shawn W
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Common stock purchase or sale:

Transaction Date	Reported Date	Company	Symbol	Insider Relationship	Shares Traded	Average Price	Total Amount	Shares Owned	Filing
2016-08-19 Sale	2016-08-23 11:25 am	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	5,748	\$18.22	\$104,729	2,320,391 (Indirect Direct)	View
2016-07-06 Sale	2016-07-08 7:33 pm	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	13,070	\$18.47	\$241,403	2,320,391 (Indirect Direct)	View
2015-12-04 Purchase	2015-12-07 09:15 am	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	15,000	\$14.31	\$214,650	2,320,391 (Indirect Direct)	View
2015-08-17 Purchase	2015-08-20 6:56 pm	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	2,000	\$26.93	\$53,854	2,320,391 (Indirect Direct)	View
2014-12-15 Purchase	2014-12-16 10:03 am	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	5,000	\$24.2	\$121,000	2,320,391 (Indirect Direct)	View

Stock options: Exercise, Award, Grant, Conversion

Transaction Date	Reported Date	Exercisable Expiration	Company	Symnbol	Insider Relationship	Shares Traded	Conversion Price	Shares Owned	Filing
2016-08-15 Option Award	2016-08-17 6:31 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	13,302	\$0	2,320,391 (Direct)	View
2016-07-15 Option Award	2016-07-19 7:14 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	45,000	\$0	2,320,391 (Direct)	View
2016-07-01 Option Award	2016-07-06 7:20 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	15,000	\$0	2,320,391 (Direct)	View
2015-09-15 Gift	2015-09-16 6:10 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	12,250	\$0	2,320,391 (Direct)	View
2015-09-15 Gift	2015-09-16 6:10 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	12,250	\$0	2,320,391 (Direct)	View
2015-09-15 Gift	2015-09-16 6:10 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	25,000	\$0	2,320,391 (Direct)	View
2015-09-15 Gift	2015-09-16 6:10 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	25,000	\$0	2,320,391 (Indirect)	View
2015-08-05 Tax Withholding	2015-08-06 5:42 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	4,570	\$25.89	2,320,391 (Direct)	View
2015-07-21 Option Award	2015-07-23 7:35 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	10,000	\$0	2,320,391 (Direct)	View
2015-07-01 Option Award	2015-07-06 8:07 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	22,759	\$0	2,320,391 (Direct)	View
2015-07-01 Tax Withholding	2015-07-06 8:07 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	9,345	\$30.55	2,320,391 (Direct)	View
2015-07-01 Tax Withholding	2015-07-06 8:07 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	6,165	\$30.55	2,320,391 (Direct)	View
2015-03-30 Option Award	2015-04-01 7:45 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	45,000	\$0	2,320,391 (Direct)	View
2014-08-15 Exercise	2014-08-21 7:56 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	1,125,351	\$0	2,320,391 (Indirect)	View

Transaction Date	Reported Date	Exercisable Expiration	Company	Symnbol	Insider Relationship	Shares Traded	Conversion Price	Shares Owned	Filing
2014-08-15 Exercise	2014-08-21 7:56 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	1,125,351	\$0	2,320,391 (Indirect)	View
2014-08-15 Tax Withholding	2014-08-21 6:49 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	2,264	\$41.69	1,195,040 (Direct)	View
2014-07-24 Option Award	2014-08-15 7:53 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	7,000	\$0	1,195,040 (Direct)	View
2014-07-01 Tax Withholding	2014-07-30 8:15 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Coady Shawn W President, Retail Division Director	4,226	\$43.22	1,195,040 (Direct)	View

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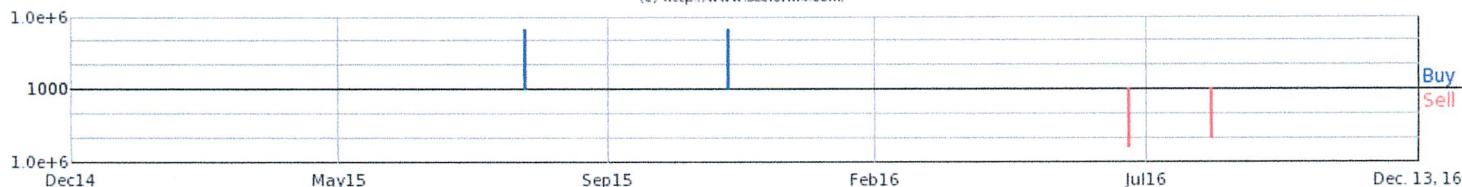
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Insider Trading - Osterman Vincent J

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Total buys: \$546,513, total sales: \$357,240, net total: \$189,273
(Vertical in logarithmic scale; Chart based on reported date)

Common stock purchase or sale:

Transaction Date	Reported Date	Company	Symbol	Insider Relationship	Shares Traded	Average Price	Total Amount	Shares Owned	Filing
2016-08-19 Sale	2016-08-22 6:27 pm	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	5,936	\$18.22	\$108,154	1,445,850 (Indirect Direct)	View
2016-07-06 Sale	2016-07-08 7:33 pm	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	13,486	\$18.47	\$249,086	1,445,850 (Indirect Direct)	View
2015-12-04 Purchase	2015-12-07 09:16 am	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	20,000	\$13.75	\$275,000	1,445,850 (Indirect Direct)	View
2015-08-14 Purchase	2015-08-17 5:08 pm	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	10,000	\$27.15	\$271,513	1,445,850 (Indirect Direct)	View

Stock options: Exercise, Award, Grant, Conversion

Transaction Date	Reported Date	Exercisable Expiration	Company	Symnbol	Insider Relationship	Shares Traded	Conversion Price	Shares Owned	Filing
2016-08-15 Option Award	2016-08-17 6:51 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	13,302	\$0	1,445,850 (Direct)	View
2016-07-15 Option Award	2016-07-19 7:15 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	45,000	\$0	1,445,850 (Direct)	View
2016-07-01 Option Award	2016-07-06 7:26 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	15,000	\$0	1,445,850 (Direct)	View
2015-08-05 Tax Withholding	2015-08-06 5:42 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	4,710	\$25.89	1,445,850 (Direct)	View
2015-07-21 Option Award	2015-07-23 7:49 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	10,000	\$0	1,445,850 (Direct)	View
2015-07-01 Tax Withholding	2015-07-06 8:12 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	9,876	\$30.55	1,445,850 (Direct)	View
2015-07-01 Option Award	2015-07-06 8:12 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	22,759	\$0	1,445,850 (Direct)	View
2015-07-01 Tax Withholding	2015-07-06 8:12 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	6,368	\$30.55	1,445,850 (Direct)	View
2015-03-30 Option Award	2015-04-01 7:44 pm	N/A N/A	Ngl Energy Partners Lp	NGL	Osterman Vincent J President, Eastern Retail OP Director	45,000	\$0	1,445,850 (Direct)	View

Truth in Options

Stock Options Consultants

John Olagues
413 Sauve Rd.
River Ridge, LA 70123
U.S.A

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